



Individual Rights

STATE OF HAWAII

PROGRAM TITLE:

INDIVIDUAL RIGHTS

VARIANCE REPORT

REPORT V61

11/22/05

PROGRAM-ID:

PROGRAM STRUCTURE NO: 10

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	531.0	469.0	- 62.0	12	535.0	471.0	- 64.0	12	535.0	535.0		
EXPENDITURES	58,593	51,221	- 7,372	13	14,112	12,651	- 1,461	10	49,438	55,308	5,870	12
TOTAL COSTS												
POSITIONS	531.0	469.0	- 62.0	12	535.0	471.0	- 64.0	12	535.0	535.0		
EXPENDITURES	58,593	51,221	- 7,372	13	14,112	12,651	- 1,461	10	49,438	55,308	5,870	12
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % EXAM WKLD COMPLETED W/IN STATTRY TIME REQMTS					100	81	- 19	19	100	80	- 20	20
2. % OF INSTI EXAM & SUPVSD IN APPROP, TIMELY MANNER					90	86	- 4	4	90	70	- 20	22
3. % OF COMPLAINTS RESOLVED WITHIN 90 DAYS					90	89	- 1	1	90	90		
4. # OF CLIENTS PROVIDED EFFECTIVE REPRESENTATION					49,096	44,096	- 5,000	10	50,078	44,947	- 5,131	10

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

PROGRAM TITLE: INDIVIDUAL RIGHTS

10

Part I - EXPENDITURES AND POSITIONS

The variance in the Individual Rights Program positions counts is due to vacancies and delays in hiring. Variances in FY 05 actual expenditures are the result of position vacancies and delaying purchases of machinery and equipment.

Part II - MEASURES OF EFFECTIVENESS

See lowest level programs for explanation of variances.

STATE OF HAWAII
PROGRAM TITLE:
PROGRAM-ID:

PROTECTION OF THE CONSUMER

VARIANCE REPORT

REPORT V61
11/22/05

PROGRAM STRUCTURE NO: 1001

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	391.0	344.0	- 47.0	12	394.0	341.0	- 53.0	13	394.0	394.0		
EXPENDITURES	46,959	39,829	- 7,130	15	10,968	9,777	- 1,191	11	39,915	44,450	4,535	11
TOTAL COSTS												
POSITIONS	391.0	344.0	- 47.0	12	394.0	341.0	- 53.0	13	394.0	394.0		
EXPENDITURES	46,959	39,829	- 7,130	15	10,968	9,777	- 1,191	11	39,915	44,450	4,535	11
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % EXAM WKLD COMPLETD W/IN STATTRY TIME REQ					100	81	- 19	19	100	80	- 20	20
2. % INST EXAMND IN TIMELY MANNER PURS TO ST					90	86	- 4	4	90	70	- 20	22
3. % OF COMPLAINTS RESOLVED WITHIN 90 DAYS					90	89	- 1	1	90	90		

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

REGULATION OF SERVICES

VARIANCE REPORT

REPORT V61

11/22/05

PROGRAM-ID:

PROGRAM STRUCTURE NO: 100103

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	232.0	207.0	- 25.0	11	233.0	199.0	- 34.0	15	233.0	233.0		
EXPENDITURES	29,421	25,407	- 4,014	14	7,034	6,206	- 828	12	25,875	30,104	4,229	16
TOTAL COSTS												
POSITIONS	232.0	207.0	- 25.0	11	233.0	199.0	- 34.0	15	233.0	233.0		
EXPENDITURES	29,421	25,407	- 4,014	14	7,034	6,206	- 828	12	25,875	30,104	4,229	16
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % EXAM WKLD COMPLETD W/IN STATTRY TIME REQ					100	81	- 19	19	100	80	- 20	20
2. % INST EXAMND IN TIMELY MANNER PURS TO STAT					90	86	- 4	4	90	70	- 20	22
3. % COMPLAINTS RESOLVED WITHIN 90 DAYS					90	89	- 1	1	90	90		

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

CABLE TELEVISION

PROGRAM-ID:

CCA - 102PROGRAM STRUCTURE NO: **10010301****VARIANCE REPORT**

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	4.0	4.0			4.0	4.0			4.0	4.0		
EXPENDITURES	1,891	695	- 1,196	63	325	324	- 1		884	891	7	1
TOTAL COSTS												
POSITIONS	4.0	4.0			4.0	4.0			4.0	4.0		
EXPENDITURES	1,891	695	- 1,196	63	325	324	- 1		884	891	7	1
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % HOMES WHERE CABLE TV SERVICE AVAILABLE IN STATE					99	99			99	99		
2. %COMPL BY CABLE TV COM SYS W/STATE & REG RPTG REQS					99	99			99	99		
3. % COMPLAINTS ADDRESSED WITHIN 30 DAYS					99	99			99	99		
PART III: PROGRAM TARGET GROUP												
1. HAWAII HOUSEHOLDS (THOUSANDS)					419	419			419	419		
2. HAWAII BUSINESSES (THOUSANDS)					30	30			30	30		
3. CABLE TELEVISION SUBSCRIBERS (THOUSANDS)					384	384			384	384		
4. CABLE TELEVISION COMPANIES					1	1			1	1		
5. PEG ACCESS ORGANIZATIONS					4	4			4	4		
PART IV: PROGRAM ACTIVITIES												
1. # POL & STDS PROCEEDINGS FOR CABLE COM SVCS (CCS)					2	2			2	2		
2. # OF CATV APPLICATIONS REVIEWED BY CATV									1	1		
3. # INSP, INVSTGN, COMPL REVIEWS BEGUN/ENDED BY CATV					12	12			12	12		
4. # TESTMNS REL TO CABLE COM TO LEG, CONG, GOVT AGEN					10	10			10	10		
5. # MTGS ON DEV, CONST, USE OF FACIL REL TO CCS					4	4			4	4		
6. # OF COMPLAINTS AND INQUIRIES RECEIVED					210	229	+ 19	9	210	229	+ 19	9
7. # RATE FILINGS RECEIVED AND EXAMINED BY CATV					14	14			8	8		
8. # OF INET PROJ REQUESTS RECEIVED/PROCESSED					48	52	+ 4	8	48	52	+ 4	8
9. # OF PEG ACCESS RELATED ACTIVITIES					230	249	+ 19	8	230	249	+ 19	8

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 01
CCA-102

PROGRAM TITLE: Cable Television

Part I – EXPENDITURES AND POSITIONS

Expenditures: The variance is generally attributed to unexpended funds for the Fiber Optic Institutional Network ("INET") and Public, Education and Government ("PEG") cable television access in underserved areas. The pursuit of INET expansion and enhancement projects depends on proposals submitted by the UH, DOE and ICSD. The PEG pilot project was not implemented because the bill authorizing the expenditure did not pass out of the 2004 Legislature.

Part II – MEASURES OF EFFECTIVENESS

No significant difference in FY 05.

Part III – PROGRAM TARGET GROUPS

No significant variance in FY 05.

Part IV – PROGRAM ACTIVITIES

No significant variance in FY 05.

STATE OF HAWAII
PROGRAM TITLE:

VARIANCE REPORT

REPORT V61
11/22/05

PROGRAM-ID: CCA - 103
PROGRAM STRUCTURE NO: 10010302

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ESTIMATED	±	CHANGE	%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															
PART II: MEASURES OF EFFECTIVENESS															
1. AV% DEC ON UTIL & TRANP AGREE W/DIV RECOMMENDATNS															
2. CONSUMER SAVINGS DUE TO DCA PARTICIPATION (000's)															
3. % DEADLINES MET															
4. # OF WEB PAGE HITS															
5. # CORRESPONDENCE/PHONE CONTACTS (EDUC/OUTREACH)															
6. % RENEWABLE ENERGY/FOSSIL FUEL USE															
PART III: PROGRAM TARGET GROUP															
1. DE FACTO POPULATION IN HAWAII (000'S)															
2. # OF RESIDENTIAL ELECTRICAL METERS (000'S)															
3. # OF NON-RESIDENTIAL USERS (000'S)															
4. # ELECTRIC PUBLIC UTIL REGULATED BY PUC															
5. # SUPPLIERS OF ELEC ENERGY TO ELEC PUB UTILS															
6. # TELECOM COMMON CAR (FED & ST LIC) OPER IN HI															
7. # PIPD GAS, WATR, WAST WATR PUB UTIL REG BY PUC															
8. #PROP MOTOR CARRIERS HLDG CERT PUBLIC CONV & NESSTY															
9. # PASS CARRIERS HLDG CERT PUBLIC CONV & NESSTY															
10. # WATER CARRIERS REGULATED BY PUC															
PART IV: PROGRAM ACTIVITIES															
1. # OF UTILITY GENERAL RATE APPL REVIEWED BY DIV															
2. #OF GEN TARIFF CHGS FILED BY MOTOR CARR REV BY DIV															
3. # OF NON-RATE APPL BY UTIL COMP REV BY DCA															
4. #OF INVST FOR QUAL SVC/OPER INTEG PARTIC IN BY DIV															
5. # RULE-MKG PROC/GENERIC DCKTS PARTIC IN BY DIV															
6. # OF EVENTS ATTENDED (EDUC/OUTREACH)															

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 02
CCA-103

PROGRAM TITLE: Consumer Advocate for Communication, Utilities and Transportation Services

Part I – EXPENDITURES AND POSITIONS

Positions: The variance resulted from position vacancies pending recruitment and filling, or recruitment difficulties.

Expenditures: The variance is generally attributed to position vacancies and unexpended funds for personal services provided on a fee basis. Expenditures for personal services provided on a fee basis depend upon the number of applications filed by the utility and transportation companies and the complexity of the generic dockets opened by the Public Utilities Commission.

Part II – MEASURES OF EFFECTIVENESS

Item 1 and 2. The Consumer Advocate negotiated a stipulated agreement with Hawaiian Telcom for its purchase of Verizon Hawaii. A condition of the agreement approved by the Public Utilities Commission was a credit to each customer that totaled approximately \$12 million. Planned figures are based on historical averages. The variance reflects forecasting uncertainties from unknowns such as if and when a company will file an application, and when and how the Public Utilities Commission will rule on it.

Item 4 and 5. These were new measures for this biennium budget, and yearly estimates were derived from only one or two months data. The variance is likely due to several high profile dockets and issues we worked on over the fiscal year, including the sale of the incumbent phone company, HECO rate case, and gasoline price cap proceedings.

Part III – PROGRAM TARGET GROUPS

Item 5. Several very small suppliers of electrical energy temporarily suspended service. The result would have no impact on the utilities or consumers.

Part IV – PROGRAM ACTIVITIES

Item 1. Estimates were based on historical averages. The variance reflects forecasting uncertainty from unknowns such as if and when a company will file an application. In addition, since the numbers are so small, any deviation would exceed the ten per cent threshold.

Item 6. This was a new measure for this biennium budget, and the division estimated that it would participate in two outreach/education events per month based on a calendar of events such as home fairs and remodeling shows and other known opportunities. The variance is due to additional opportunities that arose and requests made to the division.

STATE OF HAWAII

PROGRAM TITLE:

FINANCIAL INSTITUTION SERVICES

VARIANCE REPORT

REPORT V61

11/22/05

PROGRAM-ID:

CCA - 104

PROGRAM STRUCTURE NO: 10010303

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS	29.0	25.0	-	4.0	14	29.0	24.0	-	5.0	17	29.0	29.0			
EXPENDITURES	2,154	1,928	-	226	10	587	446	-	141	24	1,856	1,926		70	4
TOTAL COSTS															
POSITIONS	29.0	25.0	-	4.0	14	29.0	24.0	-	5.0	17	29.0	29.0			
EXPENDITURES	2,154	1,928	-	226	10	587	446	-	141	24	1,856	1,926		70	4
						FISCAL YEAR 2004-05				FISCAL YEAR 2005-06					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. % INST EXAMND IN TIMELY MANNER PURS TO STAT,RULES						90	86	-	4	4	90	70	-	20	22
2. %COMPL FIN INST APPL PROC TIMELY & PURS TO STAT						95	96	+	1	1	95	95			
3. % WRITTEN INQS REVIEWED/PROCESSED W/IN 30 DAYS						85	90	+	5	6	85	85			
4. % LIC RENWLS REVIEW/PROC TIMELY, PURS TO STDS						95	100	+	5	5	95	95			
5. % AUDITED FIN STMTS REVIEWED W/IN 30 DAYS						95	88	-	7	7	95	95			
PART III: PROGRAM TARGET GROUP															
1. DE FACTO POPULATION IN HAWAII (000)						1,407	1,377	-	30	2	1,423	1,386	-	37	3
2. FIN INST, BRANCHES & OTHER OFFICES REGULATED						369	371	+	2	1	367	383	+	16	4
PART IV: PROGRAM ACTIVITIES															
1. # FIN INST BRANCHES & OTHER OFFICES EXAMINED						260	319	+	59	23	250	267	+	17	7
2. # OF APPLICS OF FINAN INSTS REVIEWED						70	68	-	2	3	80	80			
3. # OF WRITTEN INQUIRIES RECEIVED						190	234	+	44	23	195	225	+	30	15
4. # OF LICENSES RENEWED						157	167	+	10	6	167	179	+	12	7
5. # AUDITED FIN STATEMENTS RECEIVED FOR REVIEW						16	16				17	17			
6. # OF WRITTEN COMPLAINTS RECEIVED						90	79	-	11	12	85	82	-	3	4
7. # OF TELEPHONE/WALK-IN INQUIRIES RECEIVED						4,100	4,665	+	565	14	4,100	4,300	+	200	5

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 03
CCA-104

PROGRAM TITLE: Financial Institution Services

Part I – EXPENDITURES AND POSITIONS

Positions: The variance resulted from the lengthy period involved in recruitment and hiring, and the retirement or resignation of several employees. The Division expects to fill these vacancies in FY 06, but also expects that there will be additional retirements or resignations creating new vacancies.

Expenditures: The variance is generally attributed to personnel. Position vacancies and difficulties in recruiting qualified candidates resulted in the filling of positions at lower levels.

Part II – MEASURES OF EFFECTIVENESS

No significant variances in FY05.

Part III – PROGRAM TARGET GROUPS

No significant variances in FY05.

Part IV – PROGRAM ACTIVITIES

Item 1. Planned examinations for FY05 and FY 06 did not include escrow depository licensees because, unlike financial institutions under HRS Chapter 412, the examinations of escrow depositories under HRS Chapter 449 are discretionary. However, due to recent trends and issues in the real estate market and in complaints received, examinations of several

escrow depositories were conducted in FY 05 and the Division estimates that it will conduct examinations of additional escrow depositories in FY 06.

Item 3. Number of written inquiries varies widely from year to year, but appears to be trending upward. The planned number for FY 05 was based on average number of inquiries received in the previous five fiscal years. Actual FY 05 inquiries were higher than that received in the previous five years. The increase may be attributable to the real estate market and the economy in Hawaii resulting in many more questions regarding laws applicable to real estate transactions and licensing requirements. The planned number for FY 06 was projected to be slightly higher than for FY 05 due to the upward trend. With the continuation in the upward trend in number of inquiries, the estimate for FY 06 is based on average number of inquiries received in the previous three fiscal years.

Item 6. Number of complaints varies widely from year to year. The planned number for FY 05 was based on the average number of complaints received in the previous five fiscal years. Actual number for FY 05 fell within that range, but at the lower end.

Item 7. Number of telephone/walk-in inquiries varies widely from year to year. The planned number for FY 05 was based on average number of inquiries received in the previous four fiscal years. Actual FY 05 inquiries were higher than that received in the previous four years. The increase may be attributable to the real estate market and the economy in Hawaii resulting in many more questions regarding laws applicable to real estate transactions and licensing requirements.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 10010304

PROFESSIONAL & VOCATIONAL LICENSING CCA - 105

VARIANCE REPORT

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS	61.0	56.0	-	5.0	8	60.0	56.0	-	4.0	7	60.0	60.0			
EXPENDITURES	5,867	4,965	-	902	15	1,415	1,232	-	183	13	5,328	5,434		106	2
TOTAL COSTS															
POSITIONS	61.0	56.0	-	5.0	8	60.0	56.0	-	4.0	7	60.0	60.0			
EXPENDITURES	5,867	4,965	-	902	15	1,415	1,232	-	183	13	5,328	5,434		106	2
						FISCAL YEAR 2004-05				FISCAL YEAR 2005-06					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. % APPLICANTS LICENSED IN APPROP, TIMELY MANNER						95	97	+	2	2	95	98	+	3	3
2. % LICENSEES RENEWED IN APPROP, TIMELY MANNER						97	90	-	7	7	97	95	-	2	2
3. % PVL-PROPOSED LEGISLATION ENACTED						90	100	+	10	11	90	90			
PART III: PROGRAM TARGET GROUP															
1. DE FACTO POPULATION IN HAWAII (000)						1,407	1,377	-	30	2	1,423	1,386	-	37	3
2. PERS/BUS LIC BY PVL'S REG BDS, COMS & PROG						267,000	274,604	+	7,604	3	267,000	287,000	+	20,000	7
3. PERS/BUS SEEKING LICENSES FROM PVL						11,500	12,166	+	666	6	11,500	14,200	+	2,700	23
4. REG BOARDS, COMMISSIONS, PROG ASSIGNED TO PVL						45	45				45	45			
PART IV: PROGRAM ACTIVITIES															
1. # OF PROF & VOC APPLICATIONS RECEIVED						13,500	16,849	+	3,349	25	13,500	19,000	+	5,500	41
2. # OF EXAMINEES & REEXAMINEES						10,900	9,696	-	1,204	11	10,900	10,200	-	700	6
3. # OF APPLICANTS LICENSED						11,500	12,166	+	666	6	11,500	14,200	+	2,700	23
4. # OF PERMITS ISSUED						2,200	2,034	-	166	8	2,200	2,200			
5. # OF LICENSES RENEWED						45,000	58,730	+	13,730	31	45,000	55,000	+	10,000	22
6. # OF UPDATE TRANSACTIONS FOR LICENSES						179,000	205,791	+	26,791	15	179,000	208,000	+	29,000	16
7. # CONDO REQUESTS, APPLS, REPORTS & EDUC OFFERINGS						67,557	62,527	-	5,030	7	67,557	64,741	-	2,816	4
8. # REAL ESTATE REQUESTS AND EDUC OFFERINGS						103,059	100,961	-	2,098	2	103,059	101,424	-	1,635	2
9. # OF SUBDIVISION FILINGS RECEIVED						12	13	+	1	8	12	13	+	1	8
10. # OF EXAMS & EXAM PROC DEV, MODIFIED OR REVIEWED						27	29	+	2	7	27	29	+	2	7

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 04
CCA-105

PROGRAM TITLE: Professional and Vocational Licensing

Part I – EXPENDITURES AND POSITIONS

Expenditures: The variance is generally attributed to recovery claims. Recovery claims are contingent on the number of claims filed and nature of the claims.

Part III – PROGRAM TARGET GROUPS

No significant variances in FY05.

Part II – MEASURES OF EFFECTIVENESS

Item 3. The variance is due to a conservative estimate since administration bills were controversial in nature. However, the measures were successfully passed.

Part IV – PROGRAM ACTIVITIES

Item 1. The variance is due to positive economic growth that engendered increases in the number of applications received for real estate, real estate appraisers, mortgage brokers, accountants, contractors, electricians, plumbers, engineers, architects, landscape architects, surveyors, physicians, nurses, motor vehicle industry and repair. Also licensing of two (2) new license types for social workers started in FY05.

Item 2. The variance is due to a reduction in candidates for exam because the dental exam was suspended and licensure by endorsement and credentialing (examined out of state) increased.

Item 5. The variance is due to the increase in new licenses issued engendered by the positive growth in economic activity (see Item 1. above), resulting in more licensee renewals.

Item 6. The variance is due to increased workload in posting employment and insurance/bond information for real estate, contractors and mortgage areas.

STATE OF HAWAII

PROGRAM TITLE:

PUBLIC UTILITIES COMMISSION

PROGRAM-ID:

BUF - 901

PROGRAM STRUCTURE NO: 10010305

VARIANCE REPORT

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	39.0	39.0			41.0	32.0	- 9.0	22	41.0	41.0		
EXPENDITURES	6,969	6,551	- 418	6	1,700	1,369	- 331	19	6,805	7,195	390	6
TOTAL COSTS												
POSITIONS	39.0	39.0			41.0	32.0	- 9.0	22	41.0	41.0		
EXPENDITURES	6,969	6,551	- 418	6	1,700	1,369	- 331	19	6,805	7,195	390	6
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF RATE CASES COMPLETED WITHIN 10 MONTHS					100	100			100	100		
2. %INFRML COMPLNTS RESLVD/CLOSD W/IN 90 DAYS OF FILG					NA	NA			100	100		
PART III: PROGRAM TARGET GROUP												
1. ELECTRIC AND GAS COMPANIES					5	5			5	5		
2. PROPERTY CARRIERS					375	384	+ 9	2	375	375		
3. PASSENGER CARRIERS					533	531	- 2		533	533		
4. WATER COMMON CARRIERS					3	4	+ 1	33	3	4	+ 1	33
5. PRIVATE WATER & WASTEWATER UTILITY COMPANIES					34	36	+ 2	6	34	34		
6. TELECOMMUNICATION COMPANIES					211	216	+ 5	2	211	211		
PART IV: PROGRAM ACTIVITIES												
1. # UTILITY & TRANSPORTATION APPLICATIONS FILED					400	371	- 29	7	400	400		
2. # DECISION AND ORDERS ISSUED					800	792	- 8	1	800	800		
3. # UTIL SVS INTERRUPTIONS OF 1 MIN DURATION					4,000	4,682	+ 682	17	4,000	4,000		
4. # INVESTIGATIONS INVOLVG UNLICENSED OPERNS*					70	114	+ 44	63	70	114	+ 44	63
5. # INFORMAL COMPLAINTS FILED*					600	596	- 4	1	600	600		
6. # REPORTABLE ACCIDENTS INVOLVING UTIL EMPLOYEES**					120	114	- 6	5	198	198		

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 05
BUF 901

PROGRAM TITLE: Public Utilities Commission

Part I - EXPENDITURES AND POSITIONS

Variance in expenditures and positions during FY 05 was primarily due to six (6.00) vacant positions; lower than anticipated intrastate travel, passenger car and room rental expenses due to less contested cases for motor carrier violations Statewide, and evidentiary hearings originally anticipated for Docket No. 04-0180, Application for Hawaii Superferry to engage in water carrier operations were not required; cancellation of out-of-state travel for staff training due to workload requirements; and receipt of lower than anticipated bids to contract for services to design, develop and implement an office wide docket/document management system.

The variance in expenditures and positions during the first quarter of FY 06 is primarily due to nine (9.00) vacant positions, recruitment delays, staff resignations, execution delay of a supplemental agreement to an existing information technology (IT) services contract for the docket/document management system,; and deferral to the second quarter of FY 06 for the replacement computer purchases associated with the IT services contract. The Public Utilities Commission (PUC) is working with B&F to fill all vacant positions. The PUC is also working with applicable entities to purchase the replacement computers and execute the required IT services supplemental agreement in the second quarter of FY 06. For the remainder of FY 06, we are anticipating to expend funds as planned.

Part II - MEASURES OF EFFECTIVENESS

No variances reported.

Part III - PROGRAM TARGET GROUPS

The increase in Item 4 is due to the addition of a new water carrier to the industry. On October 1, 2004, the PUC approved, via Order No. 21391, the Hawaii Superferry, Inc. to engage in operations as a water carrier pursuant to Section 217G-10, HRS.

Part IV - PROGRAM ACTIVITIES

For Item 3, the increase in utility system interruptions is primarily attributed to the Island of Hawaii's unique circumstances. Extreme weather conditions experienced in FY 05, and the heavy reliance upon older generators and one Independent Power Producer (IPP) to generate power while a new combustion turbine generator was being installed resulted in a higher than usual number of system interruptions. The new combustion turbine generator has now reduced the reliance on the one IPP and has increased the energy generation capacity. This should better accommodate future growth, demand, and service reliability on the island. It is anticipated that the number of interruptions will normalize in FY 06.

The economy's upward swing in FY 05 resulted in an increase in construction related jobs and visitors to the State. Related increases in illegal activities by property and passenger carriers required additional enforcement activity and the increased levels of investigations of unlicensed operators (Item 4). FY 05 levels are expected to continue into FY 06 due to: the anticipated filling of two (2.00) investigator positions, the sustained favorable economic conditions, continued levels of enforcement activities, and educational program efforts to better inform the public of regulatory requirements.

STATE OF HAWAII

PROGRAM TITLE:

INSURANCE REGULATORY SERVICES

PROGRAM-ID:

CCA - 106

PROGRAM STRUCTURE NO: 10010306

VARIANCE REPORT

REPORT V61

11/22/05

PART I: EXPENDITURES & POSITIONS	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ESTIMATED	±	CHANGE	%
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS	76.0	69.0	-	7.0	9	76.0	69.0	-	7.0	9	76.0	76.0			
EXPENDITURES	10,066	9,584	-	482	5	2,552	2,544	-	8		8,865	12,482		3,617	41
TOTAL COSTS															
POSITIONS	76.0	69.0	-	7.0	9	76.0	69.0	-	7.0	9	76.0	76.0			
EXPENDITURES	10,066	9,584	-	482	5	2,552	2,544	-	8		8,865	12,482		3,617	41
						FISCAL YEAR 2004-05				FISCAL YEAR 2005-06					
						PLANNED	ACTUAL	±	CHANGE	%	PLANNED	ESTIMATED	±	CHANGE	%
PART II: MEASURES OF EFFECTIVENESS															
1. % OF COMPLAINTS RESOLVED WITHIN 90 DAYS						90	89	-	1	1	90	90			
2. % EXAM WKLD COMPLETED W/IN STAT TIME REQMTS						100	81	-	19	19	100	80	-	20	20
3. % RATE/POL FILINGS REVIEWED W/IN STAT TIME REQMTS						90	90				90	90			
4. % MV INSURANCE FRAUD CASES INDICTED BY STATE						100	88	-	12	12	100	90	-	10	10
PART III: PROGRAM TARGET GROUP															
1. DE FACTO POPULATION (000)						1,407	1,377	-	30	2	1,423	1,386	-	37	3
2. INSURANCE LICENSES REGULATED BY INSUR DIV						20,500	24,025	+	3,525	17	21,000	25,225	+	4,225	20
3. MOTOR VEHICLES SUBJECT TO INS REGULATIONS(000)						830	816	-	14	2	860	830	-	30	3
PART IV: PROGRAM ACTIVITIES															
1. # OF INSURER REPTS ANALYZD FOR HJUP RATE MAKING						7,300	7,300				7,300	7,300			
2. # OF LICENSE APPL, RENEWALS, & UPDATES PROCESSED						125,550	155,113	+	29,563	24	126,000	162,900	+	36,900	29
3. # OF COMPLAINTS						700	684	-	16	2	700	840	+	140	20
4. # OF FRAUD INVESTIGATIONS OPENED						50	52	+	2	4	50	45	-	5	10
5. # OF FRAUD CASES FILED						20	40	+	20	100	20	35	+	15	75
6. # OF ANNUAL COMPANY FILINGS PROCESSED						3,500	2,604	-	896	26	3,700	2,789	-	911	25
7. # OF APPL FOR CERT OF AUTH REVIEWED						60	57	-	3	5	60	37	-	23	38
8. # OF EXAMS OF DOMESTIC INS & INS-TYPE ENTITIES						69	56	-	13	19	70	85	+	15	21
9. # INSURER & ISSUER RATE & POLICY FILINGS ANALYZED						6,250	8,533	+	2,283	37	4,550	7,040	+	2,490	55
10. # OF PREMIUM TAX STATEMENTS FILED						6,485	6,374	-	111	2	6,500	6,290	-	210	3

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 03 06
CCA-106

PROGRAM TITLE: Insurance Regulatory Services

Part I – EXPENDITURES AND POSITIONS

Expenditures: The variance is primarily attributed to position vacancies and unexpended funds for personal services provided on a fee basis. Personal services provided on a fee basis include contingent costs for insolvencies. There were no insolvencies processed in FY 05.

Part II – MEASURES OF EFFECTIVENESS

2. The number of licensed captive insurance companies continues to steadily increase, outpacing available resources (captive examiners) and adversely affecting the program's ability to keep pace with the annual exam requirement for newly licensed captive insurance companies. The total number of licensed captive companies has increased in the past calendar years as follows: 86 in 2001, 101 in 2002, 122 in 2003, 147 in 2004 and 156 as of October 2005. Of the 69 exams scheduled in FY 05, 55 exams were for captive insurance companies and only 42 of the 55 captive exams were completed in FY 05.

4. The planned percentage was based on historical results. Actual indictments for the State in the past 4 fiscal years is as follows: FY 02, 17 indictments (100%); FY 03, 26 indictments (100%); FY 04, 38 indictments (100%); FY 05, 40 indictments (88%).

Part III – PROGRAM TARGET GROUPS

2. Non-resident producer licenses have continued to increase greatly since the amendment in the licensing statute that repealed the requirement for non-resident producers to pass a written exam to qualify for a license in Hawaii. Since the amendment became effective in FY 02, the number of licenses issued to non-resident producers has increased from 1,875 in FY 02 to 11,307 in FY 04 and 14,502 in FY 05.

Part IV – PROGRAM ACTIVITIES

2. The variance is mainly due to the increase number of licensed non-resident producers from 11,307 in FY 04 to 14,502 FY05, which increase the total licensees for FY 05 to 24,025. Each licensee averaged over six transactions for this program activity, resulting in the 154,624. The estimate for FY 06 is based on the actual results from FY 04 to FY 05.

5. The planned figure was based on the prior year actual. In FY 05, a greater number of referrals were received. As a result, more cases were filed.

6. The statutory requirement for foreign insurers to file quarterly financial statements was repealed in FY 03. The variance can be attributed to not having to process the quarterly financial statements.

8. The number of licensed captive insurance companies continues to steadily increase, outpacing available resources (captive examiners) and adversely affecting the program's ability to keep pace with the annual exam requirement for newly licensed captive insurance companies. The total number of licensed captive companies has increased in the past calendar years as follows: 86 in 2001, 101 in 2002, 122 in 2003, 147 in 2004 and 156 as of October 2005. Of the 69 exams scheduled in FY 05, 55 exams were for captive insurance companies and only 42 of the 55 captive exams were completed in FY 05.

9. The planned figure was based on historical results. The increase in the number of actual filings may be due to market conditions, competitive premium pricing practices and the uncertainty of the federal Terrorism Insurance Act of 2002 that is scheduled to sunset on 12/31/05.

STATE OF HAWAII

PROGRAM TITLE:

VARIANCE REPORT **ENFORCEMENT OF FAIR BUSINESS PRACTICES**

REPORT V61

11/22/05

PROGRAM-ID:

PROGRAM STRUCTURE NO: 100104

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	119.0	98.0	-	21.0	18	118.0	99.0	-	19.0	16	118.0	118.0
EXPENDITURES	12,718	10,548	-	2,170	17	2,765	2,469	-	296	11	10,337	10,567
											230	2
TOTAL COSTS												
POSITIONS	119.0	98.0	-	21.0	18	118.0	99.0	-	19.0	16	118.0	118.0
EXPENDITURES	12,718	10,548	-	2,170	17	2,765	2,469	-	296	11	10,337	10,567
											230	2
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM					NA	NA			NA	NA		

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

OFFICE OF CONSUMER PROTECTION

PROGRAM-ID:

CCA - 110

PROGRAM STRUCTURE NO: 10010401

VARIANCE REPORT

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															
	16.0	14.0	-	2.0	13	16.0	12.0	-	4.0	25	16.0	16.0			
	1,399	1,149	-	250	18	360	327	-	33	9	1,178	1,209		31	3
	16.0	14.0	-	2.0	13	16.0	12.0	-	4.0	25	16.0	16.0			
	1,399	1,149	-	250	18	360	327	-	33	9	1,178	1,209		31	3
FISCAL YEAR 2004-05															
FISCAL YEAR 2005-06															
	PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%					
PART II: MEASURES OF EFFECTIVENESS															
1. # CONSUMERS DIRECTLY AFFECTED BY OFFICE ACTN (000)															
2. # BUSINESSES DIRECTLY AFFECTED BY OFFICE INVSTGNS															
3. \$ AMT OF FINES ASSESSED OR COSTS IMPOSED (000'S)															
4. # DISPUTES HANDLED THRU ALT DISPUTE RESOLUTION															
	31	33	+	2	6	31	32	+	1	3					
	1,000	1,116	+	116	12	1,100	1,100								
	2,000	883	-	1,117	56	2,000	1,000	-	1,000	50					
	100	102	+	2	2	100	100								
PART III: PROGRAM TARGET GROUP															
1. RESIDENT STATE POPULATION (000)															
2. VISITORS TO HAWAII (000)															
	1,300	1,300				1,300	1,300								
	6,620	7,150	+	530	8	6,600	7,150	+	550	8					
PART IV: PROGRAM ACTIVITIES															
1. # OF CONSUMER COMPLAINTS REC (EXCL LANDLD/TENANT)															
2. # OF COMPLAINTS INITIATED BY OCP															
3. # OF LANDLORD/TENANT DISPUTES PROCESSED.															
4. # OF COMPLNTS RESOLVED AT INVESTIGATIVE LEVEL															
5. # OF LEGAL ACTIONS															
6. # INQ RECVD ON BUSINESS COMPLAINT HISTORIES															
7. # PERSONS REACHED THRU EDUCATIONAL EFFORTS															
8. # LEG PROP FOR WHICH OCP PROVIDED TESTIMONY															
	1,400	1,682	+	282	20	1,400	1,500	+	100	7					
	100	40	-	60	60	60	40	-	20	33					
	11,000	10,641	-	359	3	11,000	11,000								
	800	813	+	13	2	700	700								
	24	14	-	10	42	20	14	-	6	30					
	7,000	5,552	-	1,448	21	7,000	5,600	-	1,400	20					
	5,000	15,000	+	10,000	200	5,000	20,000	+	15,000	300					
	15	18	+	3	20	15	20	+	5	33					

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 04 01
CCA-110

PROGRAM TITLE: Office of Consumer Protection

Part I – EXPENDITURES AND POSITIONS

Positions: The variance resulted from position vacancies pending recruitment and filling, or recruitment difficulties.

Expenditures: The variance is generally attributed to position vacancies and unexpended litigation funds. Litigation costs are contingent on the number of complaints filed and the nature of the complaints.

Part II – MEASURES OF EFFECTIVENESS

Item 2. Reflects increased number of cases being processed by investigative section.

Item 3. Reflects a decrease in the number of multi-defendant cases that were initiated.

Part III – PROGRAM TARGET GROUPS

Item 1 and 2. Figures from DBEDT (extrapolated). The visitor count is based on monthly totals as calculated and disseminated by DBEDT.

Part IV – PROGRAM ACTIVITIES

Item 1. Increased exposure of the office has led to the filing of more consumer complaints.

Item 2. Reflects broader nature of complaints investigated and prosecuted by OCP.

Item 5. Previous successful prosecution of cases against unaccredited degree granting institutions caused numerous schools to leave Hawaii, obviating the need for OCP to file legal actions against them during the past year. Additionally, OCP has focused on larger more complex cases during the past year.

Item 6. Increased number of inquiries made via the internet has diminished the number of telephone inquiries.

Item 7. Expanded efforts in consumer outreach resulted in a significant increase in the number of persons being provided with consumer information.

Item 8. Increased number of consumer protection bills at the legislature has led to an increase in written testimony.

STATE OF HAWAII
PROGRAM TITLE:

MEASUREMENT STANDARDS

VARIANCE REPORT

REPORT V61
11/22/05

PROGRAM-ID: **AGR - 812**

PROGRAM STRUCTURE NO: **10010402**

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06						
					PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%	
PART II: MEASURES OF EFFECTIVENESS															
1. COMPLIANCE RATE FOR COMMERCIAL MEASURING DEVICES					90	86.3	-	3	3	90	90				
2. PERCENTAGE OF MEASURING DEVICES INSPECTED					100	25	-	75	75	100	25	-	75	75	
3. COMPLIANCE RATE FOR SERVICE AGENCIES					100	100				100	100				
4. COMPLIANCE RATE FOR AUTOMOTIVE FUEL OCTANE RATING					100	100				100	100				
5. PERCENTAGE OF MEASUREMENT STANDARDS CALIBRATED					100	100				100	100				
6. COMPLIANCE RATE FOR PRICING					85	90	+	5	6	90	90				
7. PERCENTAGE OF STORES INSPECTED FOR PRICING					50	25	-	25	50	50	40	-	10	20	
8. COMPLIANCE RATE FOR PACKAGE CONTENT					50	99.8	+	49	98	50	90	+	40	80	
9. COMPLIANCE RATE FOR PACKAGE LABELING					50	100	+	50	100	50	75	+	25	50	
PART III: PROGRAM TARGET GROUP															
1. BUSINESSES USING WEIGHING DEVICES					2,300	2,814	+	514	22	2,300	2,300				
2. BUSINESSES USING VOLUMETRIC DEVICES					450	544	+	94	21	450	450				
3. BUSINESSES USING LINEAR DEVICES					450	1,947	+	1,497	333	450	450				
4. SERVICE AGENCIES FOR MEASURING DEVICES					60	62	+	2	3	60	60				
5. STORES USING PRICE SCANNERS					1,000	1,057	+	57	6	1,000	1,057	+	57	6	
6. WEIGH MASTERS					165	197	+	32	19	165	197	+	32	19	
7. DE FACTO POPULATION OF HAWAII (000)					1,356	1,262	-	94	7	1,363	1,262	-	101	7	
PART IV: PROGRAM ACTIVITIES															
1. # OF MEASURING DEVICES INSPECTED-WEIGHT					1,500	1,153	-	347	23	1,500	1,500				
2. # OF MEASURING DEVICES INSPECTED-VOLUME					1,200	1,222	+	22	2	1,200	1,200				
3. # OF MEASURING DEVICES INSPECTED-LINEAR					1,100	2,592	+	1,492	136	1,100	1,100				
4. # OF REPAIR SERVICES MONITORED FOR QUALITY					60	62	+	2	3	60	62	+	2	3	
5. # OF MEASUREMENT STANDARDS CALIBRATED					1,200	1,371	+	171	14	1,200	1,200				
6. # OF OCTANE TESTS DONE ON AUTOMOTIVE FUEL					30	10	-	20	67	30	20	-	10	33	
7. INSPECT CONSUMER PKGS FOR QUANT. OF CONTENTS (000)					30	14	-	16	53	30	15	-	15	50	
8. # OF CONSUMER PACKAGE LABELS INSPECTED					400	415	+	15	4	400	400				
9. # CONSUMER PRODS INSPECTED FOR PRICE VERIFICATN					7,000	1,425	-	5,575	80	7,000	1,500	-	5,500	79	
10. # OF WEIGHMASTERS & SERVICE AGENCIES LICENSED					245	197	-	48	20	245	200	-	45	18	

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 04 02
AGR 812

PROGRAM TITLE: Measurement Standards

Part I - EXPENDITURES AND POSITIONS

Variance in positions filled is due to delays in hiring to produce savings and difficulty in finding suitable candidates to fill vacant positions.

Part II - MEASURES OF EFFECTIVENESS

Item 2 - Variance in FY-05 is due to vacancies in inspection staff.

Item 7 - Variance in FY-05 is due to vacancies in inspection staff, and increased number of stores identified in target group.

Item 9 - Variance in FY-05 is due to vacancies in inspection staff.

Part III - PROGRAM TARGET GROUP

Item 1, 2, & 3 - Variance in FY-05 due to an increase in registered devices and businesses using registered devices.

Item 5 - Variance in FY-05 due to identifying more stores using price scanners.

Item 6 - Variance in FY-05 due to more applications than expected.

Part IV - PROGRAM ACTIVITIES

Item 1, 2, & 3 - Variance in FY-05 due to clerk vacancies, requiring coverage by inspection staff.

Item 6 - Variance in FY-05 due to clerk vacancies, requiring coverage by inspection staff.

Item 7, 8, & 9 - Variance in FY-05 due to clerk vacancies, requiring coverage by inspection staff.

Item 10 - Variance in FY-05 due to less applications received than expected.

STATE OF HAWAII

PROGRAM TITLE:

BUSINESS REGISTRATION

PROGRAM-ID:

CCA - 111PROGRAM STRUCTURE NO: **10010403****VARIANCE REPORT**

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	71.0	60.0	-	11.0	15	73.0	62.0	-	11.0	15	73.0	73.0
EXPENDITURES	5,478	4,719	-	759	14	1,206	1,027	-	179	15	4,712	4,816
											104	2
TOTAL COSTS												
POSITIONS	71.0	60.0	-	11.0	15	73.0	62.0	-	11.0	15	73.0	73.0
EXPENDITURES	5,478	4,719	-	759	14	1,206	1,027	-	179	15	4,712	4,816
											104	2
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. AV DAYS PROC CORP,PART,LLC,TRADE NM W/EXPED HANDLG		1				1				1		
2. AV DAYS PROC CORP,PART,LLC,TRADE NM W/ REG HANDLG		3				3				4	+	33
3. AV DAYS TO PROCESS APPLCS FOR BROKER/DEALERS		30				30				30		
4. AV DAYS TO PROCESS APPLCS FOR SALESPERSONS		15				15				15		
5. AV DAYS TO PROCESS APPLCS FOR INVESTMT ADVISERS		30				30				30		
6. AV DAYS TO PROCESS APPLCS FOR INV ADV REPS		15				15				15		
7. AVG AGE OF CASES PENDING IN INVESTIGATIONS SECTION		640	-	82	13	558				550	-	14
8. AVG AGE OF CASES PENDING IN LEGAL SECTION		365	+	142	39	507				365		
9. AVG AGE OF ALL CASES PENDING IN ENFORCEMENT		365	-	10	3	355				365		
PART III: PROGRAM TARGET GROUP												
1. CORP, PART, LLC,TRNAMES, TRMKS,SVC MKS ON REC(000)	120,000	131,328	+	11,328	9				125,500	136,000	+	8
2. DLRS,SALES,SEC OFF,FRANCH,INV ADV & REPS ON RECRD	70,200	68,746	-	1,454	2				75,000	70,500	-	6
PART IV: PROGRAM ACTIVITIES												
1. DOCS FOR PROC & ANN REPTS, STMTS SENT OUT BY DRB	58,000	61,224	+	3,224	6				55,000	60,000	+	9
2. # SECURITIES COMPLIANCE APPLICATIONS RECEIVED	27,000	27,503	+	503	2				27,000	28,250	+	5
3. # ENFORCE CASES OPENED FOR INVES &/OR PROSECUTION	75	84	+	9	12				83	75	-	10
4. # DISCIPLINARY/ENFORCE-RELATED INQUIRIES RECEIVED	2,500	1,528	-	972	39				2,750	1,800	-	35
5. # OF INVESTIGATIONS CLOSED DURING THE FISCAL YR	35	42	+	7	20				35	35		
6. # ENFORCEMENT CASES CLOSED DURING THE FISCAL YR	30	19	-	11	37				30	30		

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 04 03
CCA-111

PROGRAM TITLE: Business Registration

Part I – EXPENDITURES AND POSITIONS

Positions: The variance resulted from positions vacancies pending recruitment and filling, or recruitment difficulties.

Expenditures: The variance is generally attributed to position vacancies and lower than expected costs for the consumer education program and computer upgrades.

Part II – MEASURES OF EFFECTIVENESS

Item 7. Average age of a case pending in investigation at the end of the fiscal year was overestimated due to the number of investigation cases completed during the fiscal year being underestimated. The caseload fluctuates. In some years there are fewer cases involving more complainants whereas in other years there are more cases involving fewer victims per case.

Item 8. Average age of cases in legal was underestimated. Position vacancy in the legal section was pending recruitment and filling which resulted in a backlog and delay of cases being closed.

Part III – PROGRAM TARGET GROUPS

No significant variances in FY 05.

Part IV – PROGRAM ACTIVITIES

Item 3. Number of cases opened for enforcement or investigation during the fiscal year was underestimated. Complaints received fluctuates. In some years there are fewer cases involving more complainants whereas in other years there are more cases involving fewer victims per case.

Item 4. Number of disciplinary/enforcement related inquiries received was overestimated. The unpredicted resolution of a large pyramid investment scheme in FY 04 resulted in a significant reduction in the number of enforcement related inquiries.

Item 5. Number of cases closed in investigation during the fiscal year was underestimated. The caseload fluctuates. In some years there are fewer cases involving more complainants whereas in other years there are more cases involving fewer victims per case.

Item 6. Number of enforcement cases closed during the fiscal year was overestimated. The caseload fluctuates. In some years there are fewer cases involving more complainants whereas in other years there are more cases involving fewer victims per case.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO:

VARIANCE REPORT
REGULATED INDUSTRIES COMPLAINTS OFFICE
CCA - 112
10010404

REPORT V61

11/23/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															
	17.0	13.0	-	4.0	24	14.0	14.0				14.0	14.0			
	5,198	4,057	-	1,141	22	1,031	947	-	84	8	3,944	4,039		95	2
	17.0	13.0	-	4.0	24	14.0	14.0				14.0	14.0			
	5,198	4,057	-	1,141	22	1,031	947	-	84	8	3,944	4,039		95	2
						FISCAL YEAR 2004-05				FISCAL YEAR 2005-06					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. #CONSUMERS DIRECTLY AFFECTED BY RICO ACTIONS (000)						125	160	+	35	28	125	125			
2. # BUSINESSES DIRECTLY AFFECTED BY INVESTIGATIONS						1,700	2,597	+	897	53	1,700	1,700			
3. DOLLAR AMOUNT OF FINES ASSESSED						1,000,000	1,055,947	+	55,947	6	1,000,000	1,000,000			
PART III: PROGRAM TARGET GROUP															
1. DE FACTO POPULATION (THOUSANDS)						1,407	1,377	-	30	2	1,423	1,386	-	37	3
2. LICENSEES (THOUSANDS)						267	275	+	8	3	267	267			
3. BOARDS & COMMISSIONS ADMIN ASSIGNED TO DCCA						45	45				45	45			
PART IV: PROGRAM ACTIVITIES															
1. # INQUIRIES RECEIVED BY RICO						15,000	19,395	+	4,395	29	15,000	15,000			
2. # COMPLAINTS RECEIVED						3,800	3,827	+	27	1	3,800	3,800			
3. # LEGAL ACTIONS						300	363	+	63	21	300	300			
4. # COMPLAINT HISTORY INQUIRIES RECEIVED BY RICO						89,000	80,349	-	8,651	10	89,000	89,000			
5. # PERSONS REACHED THROUGH EDUCATIONAL EFFORTS						85,000	77,178	-	7,822	9	85,000	85,000			
6. # ASSISTS ON DEPT/NON-RICO MATTERS BY NI OFFICES						8,800	8,182	-	618	7	8,500	8,500			

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 04 04
CCA-112

PROGRAM TITLE: Regulated Industries Complaints Office

Part I – EXPENDITURES AND POSITIONS

Positions: The variance resulted from temporary vacancies that are currently filled.

Expenditures: The variance is generally attributed to temporary vacancies and lower than expected computer-related expenditures.

Part III – PROGRAM TARGET GROUPS

No significant variance in FY 05.

Part II – MEASURES OF EFFECTIVENESS

Item 1. Reflects increased focus on educational initiatives

Item 2. Reflects increased volume of cases.

Part IV – PROGRAM ACTIVITIES

Item 1. Reflects increased public awareness of the department's services.

Item 3. Reflects productivity from increased staffing.

STATE OF HAWAII
PROGRAM TITLE:

GENERAL SUPPORT

VARIANCE REPORT

REPORT V61
11/22/05

PROGRAM-ID: **CCA - 191**

PROGRAM STRUCTURE NO: **100105**

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	40.0	39.0	-	1.0	3	43.0	43.0		43.0	43.0		
EXPENDITURES	4,820	3,874	-	946	20	1,169	1,102	- 67	6	3,703	3,779	76 2
TOTAL COSTS												
POSITIONS	40.0	39.0	-	1.0	3	43.0	43.0		43.0	43.0		
EXPENDITURES	4,820	3,874	-	946	20	1,169	1,102	- 67	6	3,703	3,779	76 2
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. %LEG HRGS TO WHICH TIMELY WRITTN TESTMNY SUBMTTD		95	99	+	4	4			95	95		
2. %COMPLAINTS/INQ RESPONDED TO IN TIMELY MANNER		95	99	+	4	4			95	95		
3. %REQUESTS FOR SYSTEMS ADDTNS OR ENHANCE FULFILLED		99	74	-	25	25			99	80	- 19	19
4. %WORK REQUESTS COMPLETED IN REQ TIME		85	86	+	1	1			85	87	+	2 2
5. %CASES COMPL W/IN DESIG TIME FOR CONTSTD CASE HRGS		85	88	+	3	4			85	85		
6. %HRGS OFFCER REC ORDERS ADOPTED BY FINAL ADM AUTH		90	92	+	2	2			90	90		
7. %ADMIN ACTIONS MTNG EST DEADLINES FOR PROC CASES		90	87	-	3	3			90	90		
8. %CASES RESLVD BY HRGS OFF BEFORE END OF HRG PROC		35	32	-	3	9			35	35		
PART III: PROGRAM TARGET GROUP												
1. DE FACTO POPULATION IN HAWAII (000)	1,407	1,377	-	30	2	1,423	1,386	-	37	3		
2. LICENSEES (THOUSANDS)	288	297	+	9	3	288	312	+	24	8		
3. DCCA DIVISIONS	11	11				11	12	+	1	9		
4. BOARDS & COMMISSIONS ADMIN ASSIGNED TO DCCA	25	45	+	20	80	25	45	+	20	80		
5. DCCA EMPLOYEES	339	370	+	31	9	363	385	+	22	6		
PART IV: PROGRAM ACTIVITIES												
1. # SYSTEMS ADDED OR ENHANCED	78	103	+	25	32	78	100	+	22	28		
2. # OF WORK REQUESTS	5,797	5,673	-	124	2	6,465	6,601	+	136	2		
3. # WRITTN NOTICES ISS BY HRGS OFF RE: PROCED EVENTS	450	621	+	171	38	450	450					
4. # PRE-HRG EVENTS BY HRG OFF INVOLVG THE PARTIES	300	621	+	321	107	300	300					
5. # HEARINGS CONDUCTED BY HEARINGS OFFICE	250	243	-	7	3	250	250					
6. # RECOMMENDED & FINAL ORDERS ISS BY HRGS OFF	225	243	+	18	8	225	225					
7. # INFO PRESENTNS TO PUBLIC FOR EDUC GUIDANCE	29	60	+	31	107	29	29					

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 01 05
CCA-191

PROGRAM TITLE: General Support

Part I – EXPENDITURES AND POSITIONS

Expenditures: The variance is generally attributed to temporary vacancies, fringe costs (mof B) for the Department that could not be transferred out to the programs as the funding was appropriated by Act 154, SLH 2004, and lower than expected IT costs.

Part II – MEASURES OF EFFECTIVENESS

Item 1. The total number of project requests exceeded staff capacity. Those project requests will be fulfilled in the following year with additional staffing.

Part III – PROGRAM TARGET GROUPS

Item 4. Actual figure also, and more appropriately, includes programs without boards and commissions administratively assigned to DCCA.

Part IV – PROGRAM ACTIVITIES

Item 1. The planned figure was based on average historical figures. The variance resulted from the addition of more infrastructure and custom application projects undertaken to improve internal processes and public facing services via the internet.

Item 3. Although the planned number of written notices issued by the Office of Administrative Hearings for FY 2004-2005 was based upon historical data, the increase in the number of written notices issued by the Office of Administrative Hearings reflects the actual number of cases filed, and the concomitant number of notices that are procedurally required to be issued.

Item 4. The number of pre-hearing events is a reflection of the total number of cases filed with the Office of Administrative Hearings in FY04-05, as well as the number of cases carried over from the previous year that required additional pre-hearing conferences to prepare the case for hearing.

Item 5. The number of hearings conducted reflects a change in the method of reporting. Previously, the number of hearings conducted was based upon the number of hearings calendared, which included separate entries for cases having multiple days of hearing. Commencing with the FY04-05 Annual Variance Report, the category of “# Hearings Conducted by Hearings Office” reflects the number of actual cases that were heard regardless of the number of days that each case was calendared for hearing. Although a total of 243 cases completed the hearings process, the average number of days calendared per hearing was 1.4 days per case.

Item 7. The planned figure was based on actual start-up figures and start-up plans. The variance resulted from more department initiatives taken in response to consumer demands.

STATE OF HAWAII
PROGRAM TITLE:

VARIANCE REPORT

ENFORCEMENT OF INFORMATION PRACTICES

REPORT V61
11/22/05

PROGRAM-ID: **LTG - 105**

PROGRAM STRUCTURE NO: **1002**

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06					
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%		
PART I: EXPENDITURES & POSITIONS														
RESEARCH & DEVELOPMENT COSTS POSITIONS EXPENDITURES														
OPERATING COSTS POSITIONS EXPENDITURES	5.0 348	5.0 350	2	1	5.0 99	5.0 99			5.0 287	5.0 297	10	3		
TOTAL COSTS POSITIONS EXPENDITURES	5.0 348	5.0 350	2	1	5.0 99	5.0 99			5.0 287	5.0 297	10	3		
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06					
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%		
PART II: MEASURES OF EFFECTIVENESS														
1. # REQUESTS FOR GEN GUIDANCE (ATTORNEY OF THE DAY)					700	711	+	11	2	700	711	+	11	2
2. NO OF REQUESTS FOR ASSISTANCE IN OBTAINING RECORDS					65	75	+	10	15	65	75	+	10	15
3. NO OF REQUESTS FOR OPINIONS (FORMAL AND INFORMAL)					42	76	+	34	81	42	76	+	34	81
4. NO OF OPINIONS (FORMAL AND INFORMAL) COMPLETED					35	52	+	17	49	35	52	+	17	49
5. # INVESTGNS INITIATED RE: SUNSHINE LAW COMPLIANCE					4	7	+	3	75	4	7	+	3	75
6. # INVESTGNS CONCLUDED RE: SUNSHINE LAW COMPLIANCE					4	13	+	9	225	4	13	+	9	225
7. NO OF LEGISLATION MONITORED/TESTIFIED					125	145	+	20	16	125	145	+	20	16
8. # PUBLICATIONS (OPENLINE/EDUC MATERIALS/REPORTS)					20	7	-	13	65	20	7	-	13	65
9. NO. OF RRS REPORTS INPUTTED/RECEIVED					24	858	+	834	475	24	100	+	76	317
10. NO. OF TRAINING WORKSHOPS					24	NA				24	NA			
PART III: PROGRAM TARGET GROUP														
1. DE FACTO POPULATION OF HAWAII					NA	NA				NA	NA			
2. ALL STATE AND COUNTY AGENCIES					NA	NA				NA	NA			
3. ALL STATE AND COUNTY GOVERNMENT EMPLOYEES					NA	NA				NA	NA			
4. OTHERS INTERESTED IN HAWAII GOVT RECORDS & MTGS					NA	NA				NA	NA			
PART IV: PROGRAM ACTIVITIES														
1. GENERAL GUIDANCE RE: UIPA & SUNSHINE LAW ISSUES					800	711	-	89	11	800	711	-	89	11
2. ASSISTANCE PROVIDED TO OBTAIN AGENCY RECORDS					65	102	+	37	57	65	102	+	37	57
3. FORMAL OPINIONS ISSUED					18	22	+	4	22	18	22	+	4	22
4. INFORMAL OPINIONS ISSUED					25	30	+	5	20	25	30	+	5	20
5. INVESTIGATIONS RE: SUNSHINE LAW COMPLIANCE					3	7	+	4	133	3	7	+	4	133
6. LAWSUITS MONITORED					3	5	+	2	67	3	5	+	2	67
7. LEGISLATION MONITORED/TESTIFIED					125	118	-	7	6	125	118	-	7	6
8. PUBLICATIONS (OPENLINE/EDUC MATERIALS/REPORTS)					20	7	-	13	65	20	7	-	13	65
9. RRS REPORTS INPUTTED/RECEIVED					24	858	+	834	475	24	100	+	76	317
10. TRAINING WORKSHOPS ON UIPA AND SUNSHINE LAW					24	28	+	4	17	24	28	+	4	17

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 02
LTG-105

PROGRAM TITLE: ENFORCEMENT OF INFORMATION PRACTICES

Part I – EXPENDITURES AND POSITIONS

Part II – MEASURES OF EFFECTIVENESS

See Program Activities.

Part III – PROGRAM TARGET GROUPS

Part IV – PROGRAM ACTIVITIES

Program activity 2: Based upon the number of requests received historically, we estimated that we would receive 65 requests for assistance in obtaining access to government records from members of the public and the media. We received 102 such requests in FY 2004-2005. We assume that the increase in the number of requests for assistance was a result of more public awareness about the Uniform Information Practices Act (Modified) (the "UIPA") and, more specifically, a person's right to access records maintained by state and county agencies unless such access is expressly restricted. We expect that public awareness of open government and, in particular, the public records statute to continue to grow, and the number of requests for assistance filed with OIP to likewise continue to increase. We will look to provide more training to government agencies concerning their obligations under the UIPA to reduce the number of instances that agencies improperly deny a requester access to a record.

Program activities 3 and 4: We issued more formal and informal written opinions in FY 2004-2005 than we had estimated. At the beginning of FY 2003-2004, OIP had over 170 pending files, some of which were over 10 years old. Over the course of the past two years, we have worked very hard at improving our administration of both the UIPA and the Sunshine Law, which includes reducing the number of pending matters. To be effective, OIP must be timely in responding to requests for written opinions. The increase in the number of estimated formal and informal written opinions partly reflects OIP's commitment to improving our processes and to better fulfilling its statutory duties. In the future, OIP may require additional resources to hire another attorney and staff to address issues relating to access to meetings and public records.

STATE OF HAWAII

PROGRAM TITLE:

LEGAL & JUDICIAL PROTECTION OF RIGHTS VARIANCE REPORT

REPORT V61

11/22/05

PROGRAM-ID:

PROGRAM STRUCTURE NO: 1003

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	135.0	120.0	-	15.0	11	136.0	125.0	-	11.0	8	136.0	136.0
EXPENDITURES	11,286	11,042	-	244	2	3,045	2,775	-	270	9	9,236	10,561
											1,325	14
TOTAL COSTS												
POSITIONS	135.0	120.0	-	15.0	11	136.0	125.0	-	11.0	8	136.0	136.0
EXPENDITURES	11,286	11,042	-	244	2	3,045	2,775	-	270	9	9,236	10,561
											1,325	14
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. # OF CLIENTS PROVIDED EFFECTIVE REPRESENTATION					49,096	44,066	-	5,030	10	50,078	44,947	-
											5,131	10

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

OFFICE OF THE PUBLIC DEFENDER

VARIANCE REPORT

REPORT V61

11/22/05

PROGRAM-ID:

BUF - 151

PROGRAM STRUCTURE NO: 100301

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06						
					PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%	
PART II: MEASURES OF EFFECTIVENESS															
1. # OF CLIENTS PROVIDED EFFECTIVE REPRESENTATION					49,096	44,066	- 5,030		10	50,078	44,947	- 5,131		10	
2. ANN # TRNG HOURS COMPL BY PROF STAFF AS %PLNND HRS					NA	NA				90	90				
PART III: PROGRAM TARGET GROUP															
1. INDIGENTS REQUIRING SERVICES FOR FELONY CASES					6,674	5,321	- 1,353		20	6,808	5,427	- 1,381		20	
2. INDIGENTS REQUIRING SVS FOR MISDEMEANOR CASES					29,288	27,934	- 1,354		5	29,874	28,493	- 1,381		5	
3. INDIGENTS REQUIRING SERVICES FOR APPEALS CASES					115	105	- 10		9	117	107	- 10		9	
4. INDIGENTS REQUIRING SVCS FOR MENTAL COMMIT CASES					243	217	- 26		11	247	221	- 26		11	
5. INDIGENTS REQ SVCS FOR FAMILY COURT CASES					10,373	8,340	- 2,033		20	10,580	8,507	- 2,073		20	
6. INDIGENTS REQ SVCS FOR PRISON CASES					2,403	2,149	- 254		11	2,451	2,192	- 259		11	
PART IV: PROGRAM ACTIVITIES															
1. CASES ACCEPTED - FELONY CASES					5,876	4,675	- 1,201		20	5,993	4,769	- 1,224		20	
2. CASES ACCEPTED - MISDEMEANOR CASES					28,338	27,046	- 1,292		5	28,904	27,587	- 1,317		5	
3. CASES ACCEPTED - FAMILY COURT CASES					9,943	7,440	- 2,503		25	10,142	7,589	- 2,553		25	
4. CASES ACCEPTED - APPEAL CASES					115	105	- 10		9	118	107	- 11		9	
5. CASES ACCEPTED - MENTAL COMMITMENT CASES					243	217	- 26		11	247	221	- 26		11	
6. CASES ACCEPTED - PRISON CASES					2,403	2,149	- 254		11	2,451	2,192	- 259		11	

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 03 01
BUF 151

PROGRAM TITLE: OFFICE OF THE PUBLIC DEFENDER

Part I - EXPENDITURES AND POSITIONS

FY 2004-2005

The variance in expenditures and positions in FY 05 were primarily due to the net effect of collective bargaining allocations and three (3.00) vacant positions.

FY 2005-2006

Expenditures and positions – the variance in expenditures and positions for the 1st Quarter of FY 06 are due to the net effect of collective bargaining allocations, vacant positions, and litigation related and other current expenses that were lower during the 1st Quarter than planned. The Office is working to fill the vacant positions by the fiscal year end and funds are anticipated to be expended as planned.

Part II - MEASURES OF EFFECTIVENESS

The variance in (Item 1) reflects the unpredictability in the annual number of indigent persons who require legal services in criminal cases.

Part III - PROGRAM TARGET GROUPS

FY 2004-2005

The actual number of indigents requiring services for misdemeanor cases (Item 2) was substantially as planned. The variance in the target number of indigents requiring services for felony, appeals, mental commitment, family court and prison cases (Items 1, 3, 4, 5, and 6)

reflects the unpredictability of the variables that determines program target groups. Further, the planned and estimated figures inadvertently include some double counts of cases which have been corrected in the FY 05 actual and FY 06 estimated figures.

FY 2005-2006

The variances reflect the unpredictability of the variables that determine program target groups. No reliable method has been devised to accurately predict how many indigent persons will require legal services in criminal cases, nor the types of cases or services they will require. The variances also reflect prior double counts in caseload activity that have been corrected. Estimates for FY 06 are based on re-projections of actual figures through FY 05.

Part IV - PROGRAM ACTIVITIES

FY 2004-2005

The actual number accepted for misdemeanor cases (Item 2) were substantially as planned. The variance in the number of cases accepted for felony, family court, appeal, mental commitment and prison cases (Items 1, 3, 4, 5, and 6) reflects the unpredictability of the variables which determines program activities. The variances also reflect prior double counts in caseload activity that have been corrected.

FY 2005-2006

The variance reflects the unpredictability of the variables which determine program activities. No reliable method has been devised to accurately predict how many indigent persons will require legal services in criminal cases, nor the types of cases or services they will require. Estimates for FY 06 are based on re-projections of actual figures through FY 05. The variances also reflect prior double counts in caseload activity that have been corrected.

STATE OF HAWAII
PROGRAM TITLE:

CONVEYANCES AND RECORDINGS

VARIANCE REPORT

REPORT V61
11/22/05

PROGRAM-ID: **LNR - 111**

PROGRAM STRUCTURE NO: **100303**

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES												
OPERATING COSTS												
POSITIONS	55.0	44.0	-	11.0	20	55.0	47.0	-	8.0	15	55.0	55.0
EXPENDITURES	3,228	3,019	-	209	6	916	796	-	120	13	2,750	3,724
											974	35
TOTAL COSTS												
POSITIONS	55.0	44.0	-	11.0	20	55.0	47.0	-	8.0	15	55.0	55.0
EXPENDITURES	3,228	3,019	-	209	6	916	796	-	120	13	2,750	3,724
											974	35
					FISCAL YEAR 2004-05				FISCAL YEAR 2005-06			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NO. DAYS BETWN RECORDING & COMPLETION - REG SYS		17				17				17		
2. NO. DAYS BETWN RECORDING & COMPLETION - LAND COURT		315	328	+	13	4			315	330	+	15
3. NO. DAYS BETWEEN REQUEST & COMPLETION-CERT COPIES		1	3	+	2	200			1	3	+	2
4. # DAYS BETW REQ & COMPL OF REC SEARCHES- UCC		1	3	+	2	200			1	3	+	2
5. # DAYS BETW DOC SEARCH/COPY REQUEST & COMPLETION		1	3	+	2	200			1	3	+	2
PART III: PROGRAM TARGET GROUP												
1. NO. OF DOCUMENTS RECORDED - REG SYS	270,000	261,954	-	8,046	3	270,000	270,000		270,000	270,000		
2. NO. OF DOCUMENTS RECORDED - LAND COURT	170,000	163,907	-	6,093	4	170,000	170,000		170,000	170,000		
3. LAND COURT CERTIFICATES OF TITLES ISSUED	55,000	52,317	-	2,683	5	55,000	55,000		55,000	55,000		
4. LAND COURT ORDERS RECORDED	5,000	4,999	-	1		5,000	5,000		5,000	5,000		
5. MAPS FILED - LAND COURT & REGULAR SYSTEM	180	20	-	160	89	180	180		180	180		
6. COPIES REQUESTED - LAND COURT & REG	190,000	97,487	-	92,513	49	190,000	100,000	-	90,000	47		
7. UCC RECORD SEARCHES REQUESTED	300	391	+	91	30	300	300		300	300		
PART IV: PROGRAM ACTIVITIES												
1. CERTIFIED COPIES PROCESSED	165,000	97,487	-	67,513	41	165,000	100,000	-	65,000	39		
2. NO. OF DOCUMENTS PROCESSED - REGULAR SYSTEM	255,000	253,780	-	1,220		235,000	250,000	+	15,000	6		
3. NO. OF DOCUMENTS PROCESSED - LAND COURT	108,000	112,011	+	4,011	4	108,000	108,000					
4. LAND COURT ORDERS PROCESSED	3,100	3,864	+	764	25	3,100	3,100					
5. LAND COURT CERTIFICATES OF TITLES PRODUCED	28,000	32,707	+	4,707	17	28,000	28,000					
6. UCC RECORD SEARCHES PROCESSED	300	578	+	278	93	300	600	+	300	100		
7. MAPS PROCESSED - LAND COURT & REGULAR SYSTEM	180	20	-	160	89	180	180					

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

10 03 03
LNR 111

PROGRAM TITLE: Conveyances and Recordings

Part I – EXPENDITURES AND POSITIONS

Variance between budgeted and actual number of positions filled was due to delays in obtaining approval to fill and scarcity of qualified applicants. Variance in expenditures was due primarily to vacancy savings.

Part II – MEASURES OF EFFECTIVENESS

The increase in real estate activity has led to an increase in requests for Uniform Commercial Code (UCC) and document searches, resulting in a greater number of days to complete searches, copies, and certified copies.

Part III – PROGRAM TARGET GROUPS

The decrease in the number of maps filed is due to fewer land subdivisions.

The decrease in the number of copies requested is due to expanded access to online information for the public.

The increase in the number of UCC searches requested is due to the increased volume of secured transactions.

Part IV – PROGRAM ACTIVITIES

The decrease in the number of certified copies processed is due to expanded access to online information for the public.

The increase in the number of land court orders and land court certificates of title is due to the increased volume of real estate transactions involving land court property.

The increase in the number of UCC record searches processed is due to the increased volume of secured transactions.

The decrease in the number of maps processed is due to fewer land subdivisions.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 100304

VARIANCE REPORT

COMMISSION ON THE STATUS OF WOMEN

HMS - 888

REPORT V61

11/22/05

	FISCAL YEAR 2004-05				THREE MONTHS ENDED 9-30-05				NINE MONTHS ENDING 6-30-06						
	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ESTIMATED	±	CHANGE	%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES															
OPERATING COSTS															
POSITIONS															
EXPENDITURES															
TOTAL COSTS															
POSITIONS															
EXPENDITURES															

VARIANCE REPORT NARRATIVE
FY 05 and FY 06

PROGRAM TITLE: HAWAII STATE COMMISSION ON THE STATUS OF WOMEN

10 03 04
HMS 888

Part I - EXPENDITURES AND POSITIONS

The variance for FY05 is due to the vacancy of the administrative assistant position during portions of the year.

Part II - MEASURES OF EFFECTIVENESS

Due to the temporary lack of staff, data was not collected or compiled.

Part III - PROGRAM TARGET GROUP

Due to the temporary lack of staff, data was not collected or compiled.

Part IV - PROGRAM ACTIVITIES

Due to the temporary lack of staff, data was not collected or compiled.

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